

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 09/818,252, filed on March 26, 2001.
- ☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one Fred Stacey Date: May 28/01

Signature: [Signature] Citizen of: Canada

Inventor two Dan Rivaud Date: May 28/01

Signature: [Signature] Citizen of: Canada

Inventor three Scott McClennon Date: May 28/01

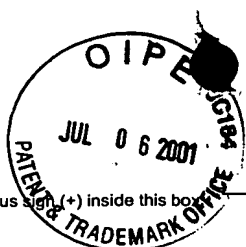
Signature: [Signature] Citizen of: Canada

Inventor four _____ Date: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3135470 v1



Please type a plus sign (+) inside this box ☐

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035
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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/818,252
	Filing Date	March 26, 2001
	First Named Inventor	Stacey, Fred, et al.
	Title	System And Method for Synchronizing Sample Rates Of Voiceband Channels And A DSL Interface Channel
	Group Art Unit	2642
	Examiner Name	Not Assigned
	Attorney Docket Number	20510-11.00

I hereby appoint:

☐ Practitioners at Customer Number

20350

Place Customer
Number Bar Code
Label here

☒ Practitioner(s) named below:

Name	Registration Number
Kenneth R. Allen	27,301
Charles L. Hamilton	42,624
David N. Slone	28,572

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.
OR

<input checked="" type="checkbox"/> Firm or Individual Name	Kenneth R. Allen				
Address	Townsend and Townsend and Crew LLP				
Address	Two Embarcadero Center, 8th Floor				
City	San Francisco	State	CA	ZIP	94111-3834
Country	USA				
Telephone	(650) 326-2400	Fax	(650) 326-2422		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

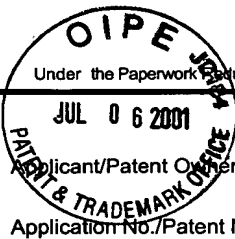
SIGNATURE of Applicant or Assignee of Record

Name	Andreas Weirich
Signature	<i>A. Weirich</i>
Date	28 May 01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3147729 v1



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Catena Networks, Inc.

Application No./Patent No.: 09/818,252

Filed/Issue Date: March 31, 2001

Entitled: System And Method For Synchronizing Sample Rates Of Voiceband Channels And A DSL Interface Channel

Catena Networks, Inc.

a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE:] A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

28 May 01
Date

A. Weirich
Signature

Andreas Weirich

Typed or printed name

Vice President, Systems Architecture

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3147733 v1

PATENT ASSIGNMENT

Catena Networks Canada, Inc. (a Canadian corporation), ("Assignor") a wholly owned subsidiary of Catena Networks, Inc. (a Delaware corporation) is the record owner of:

U.S. Patent Application No.: 09/818,252
 Filed: March 26, 2001
 For: System And Method For Synchronizing Sample Rates of Voiceband Channels And A DSL Interface Channel
 Priority: Canadian Patent Application No. 2,303,608
 Filed: March 31, 2000

WHEREAS, Catena Networks, Inc., (a Delaware corporation), ("Assignee"), located at 303 Twin Dolphin Drive, Suite 600, Redwood Shores, California 94065 wishes to purchase all Assignor's right, title and interest to and under the patent application effective at least as early as March 26, 2001.

Therefore, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Date: 28 May 2001

A. Weirich

Andreas Weirich
 Vice President Systems Architecture

PROVINCE OF Ontario)
)
 COUNTY OF)

ss.

On May 28/01, before me, ANDREAS WEIRICH,
 Notary Public, personally appeared Andreas Weirich, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]
 NOTARY PUBLIC

My Commission Expires: _____
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